

Justify all answers! I recommend doing these questions out of order and focus first on questions with which you are less comfortable.

Throughout,  $V$  is assumed to be a vector space over  $\mathbb{R}$ .

Please let me know if I've made any mistakes in my solutions.

- (1) Let  $X \subseteq V$ . Show that if  $S \leq V$  contains  $X$ , then  $S \supseteq \text{span } X$ . (This justifies the remark that  $\text{span } X$  is the “smallest” subspace which contains  $X$ )

**Solution:** If  $S \leq V$  and  $x_1, \dots, x_n \in S$ , then  $c_1x_1 + \dots + c_nx_n \in S$  for any  $c_1, \dots, c_n \in \mathbb{R}$ .

Thus, if  $X \subseteq S$ , then  $S$  contains every finite linear combination of elements of  $X$ , so  $\text{span } X \subseteq S$  as well.  $\square$

- (2) This exercise will give us another way to define  $\text{span } X$ .

- (a) Let  $\mathcal{S}$  be a collection of subspaces of  $V$ ; that is,  $\mathcal{S}$  is a set whose elements are subspaces of  $V$ . Show that  $\bigcap_{S \in \mathcal{S}} S \leq V$  where  $\bigcap_{S \in \mathcal{S}} S = \{v \in V : v \in S \text{ for every } S \in \mathcal{S}\}$ . (This *cannot* be proved by induction since  $\mathcal{S}$  may have infinitely many elements)

**Solution:** Set  $\widehat{S} = \bigcap_{S \in \mathcal{S}} S$ . Since  $S \leq V$  for all  $S \in \mathcal{S}$ , we know that  $0 \in S$  for all  $S \in \mathcal{S}$ . Therefore  $0 \in \widehat{S}$ , so  $\widehat{S} \neq \emptyset$ .

Now, take  $x_1, x_2 \in \widehat{S}$  and  $c_1, c_2 \in \mathbb{R}$ ; we need to show that  $c_1x_1 + c_2x_2 \in \widehat{S}$ . Since  $x_1, x_2 \in \widehat{S}$ , we know that  $x_1, x_2 \in S$  for every  $S \in \mathcal{S}$  and since  $S \leq V$ , we know that  $c_1x_1 + c_2x_2 \in S$  as well. Therefore,  $c_1x_1 + c_2x_2 \in S$  for every  $S \in \mathcal{S}$ , so  $c_1x_1 + c_2x_2 \in \widehat{S}$ .  $\square$

- (b) Fix  $X \subseteq V$  and let  $\mathcal{X}$  be the collection of all subspaces of  $V$  which contain  $X$ ; that is,  $\mathcal{X} = \{S \leq V : S \supseteq X\}$ . Set  $\widehat{X} = \bigcap_{S \in \mathcal{X}} S$ .

Show that  $\widehat{X} \supseteq X$  and that if  $T \in \mathcal{X}$ , then  $T \supseteq \widehat{X}$ .

**Solution:** Fix  $x \in X$ . By definition,  $x \in S$  for every  $S \in \mathcal{X}$ , so  $x \in \widehat{X}$  as well. Thus,  $X \subseteq \widehat{X}$ .

Fix  $x \in \widehat{X}$ ; we need to show that  $x \in T$ . Since  $x \in \widehat{X}$ , we know that  $x \in S$  for all  $S \in \mathcal{X}$  by definition. Since  $T \in \mathcal{X}$ , this means that  $x \in T$ ; thus  $\widehat{X} \subseteq T$ .  $\square$

- (c) Show that  $\text{span } X = \widehat{X}$ .

**Solution:** We know that  $\text{span } X \in \mathcal{X}$ , so by part (b), we must have  $\text{span } X \supseteq \widehat{X}$ .

On the other hand, since  $\widehat{X} \leq V$  and  $\widehat{X} \supseteq X$ , by problem (1), we know that  $\widehat{X} \supseteq \text{span } X$ .

Therefore  $\text{span } X = \widehat{X}$ .  $\square$

- (3) Show that if  $X, Y \subseteq V$ , then  $\text{span}(X \cup Y) = \text{span } X + \text{span } Y$ .

**Solution:** We show first that  $\text{span } X + \text{span } Y \subseteq \text{span}(X \cup Y)$ . Fix  $z \in \text{span } X + \text{span } Y$ , so we know we can write  $z = x + y$  for some  $x \in \text{span } X$  and  $y \in \text{span } Y$ . By the definition of  $\text{span}$ , we can write  $x = c_1x_1 + \dots + c_nx_n$  and  $y = d_1y_1 + \dots + d_my_m$  for some  $x_1, \dots, x_n \in X$ ,  $y_1, \dots, y_m \in Y$ , and  $c_1, \dots, c_n, d_1, \dots, d_m \in \mathbb{R}$ . Therefore  $z = c_1x_1 + \dots + c_nx_n + d_1y_1 + \dots + d_my_m$ , so  $z \in \text{span}(X \cup Y)$  since  $x_1, \dots, x_n, y_1, \dots, y_m \in X \cup Y$ .

For the other direction, fix  $z \in \text{span}(X \cup Y)$ , so we can write  $z = c_1z_1 + \dots + c_nz_n$  for some  $z_1, \dots, z_n \in X \cup Y$  and  $c_1, \dots, c_n \in \mathbb{R}$ . Now, relabel these  $z_i$ 's and  $c_i$ 's so that  $z_1, \dots, z_k \in X$  and  $z_{k+1}, \dots, z_n \in Y$  for some  $0 \leq k \leq n$ . Set  $x = c_1z_1 + \dots + c_kz_k$  and  $y = c_{k+1}z_{k+1} + \dots + c_nz_n$  where

$x = 0$  if  $k = 0$  and  $y = 0$  if  $k = n$ . Notice that  $x \in \text{span } X$  and  $y \in \text{span } Y$ , so  $z = x + y \in \text{span } X + \text{span } Y$ .  
□

- (4) Show that if  $S_1, \dots, S_n \leq V$  are finite-dimensional subspaces, then  $\dim(S_1 + \dots + S_n) \leq \dim S_1 + \dots + \dim S_n$ .

**Solution:** We first prove by induction that if  $X_1, \dots, X_n \subseteq V$ , then  $\text{span}(X_1 \cup \dots \cup X_n) = \text{span } X_1 + \dots + \text{span } X_n$ .

**Base Cases:**  $n = 1$  is trivial.

$n = 2$  is proved in problem (3).

**Induction Hypothesis:** For some  $N > 2$ , for any  $X_1, \dots, X_{N-1} \subseteq V$ , we have  $\text{span}(X_1 \cup \dots \cup X_{N-1}) = \text{span } X_1 + \dots + \text{span } X_{N-1}$ .

**Induction Step:** Let  $X_1, \dots, X_N \subseteq V$  and set  $Y = X_1 \cup \dots \cup X_{N-1}$ . By the  $n = 2$  case, we know that  $\text{span}(Y \cup X_N) = \text{span } Y + \text{span } X_N$ . Furthermore, by the induction hypothesis,  $\text{span } Y = \text{span } X_1 + \dots + \text{span } X_{N-1}$ . Therefore,  $\text{span}(X_1 \cup \dots \cup X_N) = \text{span } Y + \text{span } X_N = \text{span } X_1 + \dots + \text{span } X_{N-1} + \text{span } X_N$  as needed.

Now, let  $\mathcal{B}_i$  be a basis for  $S_i$ . We just showed that  $S_1 + \dots + S_n = \text{span}(\mathcal{B}_1 \cup \dots \cup \mathcal{B}_n)$ , so we have

$$\dim(S_1 + \dots + S_n) \leq |\mathcal{B}_1 \cup \dots \cup \mathcal{B}_n| \leq |\mathcal{B}_1| + \dots + |\mathcal{B}_n| = \dim S_1 + \dots + \dim S_n.$$

□

- (5) Let  $S_1, S_2 \leq V$ .

- (a) Let  $\mathcal{B}_i$  be a basis for  $S_i$ . Prove that if  $S_1 \cap S_2 = \{0\}$ , then  $\mathcal{B}_1 \cup \mathcal{B}_2$  is a basis for  $S_1 + S_2$ .

**Solution:** We need to show that  $\mathcal{B}_1 \cup \mathcal{B}_2$  is linearly independent and  $\text{span}(\mathcal{B}_1 \cup \mathcal{B}_2) = S_1 + S_2$ . The latter follows directly from the previous problem, so we need only show linear independence.

Fix  $x_1, \dots, x_n, y_1, \dots, y_m \in \mathcal{B}_1 \cup \mathcal{B}_2$  where  $x_1, \dots, x_n \in \mathcal{B}_1$  and  $y_1, \dots, y_m \in \mathcal{B}_2$  (note that we could have either  $n = 0$  or  $m = 0$  here). Consider a linear combination  $c_1x_1 + \dots + c_nx_n + d_1y_1 + \dots + d_my_m = 0$ ; we need to show that  $c_1 = \dots = c_n = d_1 = \dots = d_m = 0$ . The above equation implies that

$$c_1x_1 + \dots + c_nx_n = -d_1y_1 - \dots - d_my_m.$$

Since  $x_1, \dots, x_n \in \mathcal{B}_1$ , we know that the left-hand side is an element of  $S_1$ . Since  $y_1, \dots, y_m \in \mathcal{B}_2$ , we know that the right-hand side is an element of  $S_2$ . As such, we know that  $c_1x_1 + \dots + c_nx_n$  and  $-d_1y_1 - \dots - d_my_m$  are both elements of  $S_1 \cap S_2$ . However,  $S_1 \cap S_2 = \{0\}$ , so

$$\begin{aligned} c_1x_1 + \dots + c_nx_n &= 0 \\ -d_1y_1 - \dots - d_my_m &= 0 \end{aligned}$$

Finally, for each  $i \in \{1, 2\}$ ,  $\mathcal{B}_i$  is a basis for  $S_i$ , so it is linearly independent. This implies that  $c_1 = \dots = c_n = 0$  and  $d_1 = \dots = d_m = 0$ . □

- (b) Show that if  $S_1, S_2 \leq V$  are finite-dimensional and  $S_1 \cap S_2 = \{0\}$ , then  $\dim(S_1 + S_2) = \dim S_1 + \dim S_2$ .

**Solution:** By part (a), if  $\mathcal{B}_i$  is a basis for  $S_i$ , then  $\mathcal{B}_1 \cup \mathcal{B}_2$  is a basis for  $S_1 + S_2$ . Furthermore,  $\mathcal{B}_1 \cap \mathcal{B}_2 = \emptyset$ , so

$$\dim(S_1 + S_2) = |\mathcal{B}_1 \cup \mathcal{B}_2| = |\mathcal{B}_1| + |\mathcal{B}_2| = \dim(S_1) + \dim(S_2).$$

□

- (c) Use the Steinitz exchange lemma to prove that if  $\dim V < \infty$  and  $X \subseteq V$  is linearly independent, then there is a basis  $\mathcal{B}$  for  $V$  with  $X \subseteq \mathcal{B}$ . This is known as the Basis Extension Lemma.

**Solution:** Suppose  $\dim V = n$  and let  $\mathcal{B}' = \{b_1, \dots, b_n\}$  be any basis for  $V$ . Suppose also that  $X = \{x_1, \dots, x_m\}$ . Since  $X$  is linearly independent and  $\mathcal{B}'$  is spanning, the Steinitz exchange lemma tells us that  $m \leq n$  and we can re-label the vectors in  $\mathcal{B}'$  so that  $\mathcal{B} = \{x_1, \dots, x_m, b_{m+1}, \dots, b_n\}$  is spanning. Now,  $\mathcal{B}$  is a set of  $n$  vectors which spans  $V$ , so  $\mathcal{B}$  must be a basis for  $V$ . □

- (d) **Challenge:** Show that if  $S_1, S_2 \leq V$  are finite-dimensional, then  $\dim(S_1 + S_2) = \dim S_1 + \dim S_2 - \dim(S_1 \cap S_2)$ .

**Solution:** Since  $S_1, S_2$  are finite dimensional, so is  $S_1 \cap S_2$ . Let  $\mathcal{B}$  be a basis for  $S_1 \cap S_2$ .

By the basis extension lemma, we can find  $\mathcal{B}_i \supseteq \mathcal{B}$  such that  $\mathcal{B}_i$  is a basis for  $S_i$ . Set  $\mathcal{B}'_2 = \mathcal{B}_2 \setminus \mathcal{B}$  and set  $S'_2 = \text{span } \mathcal{B}'_2$ . We notice that  $\mathcal{B}'_2$  is a basis for  $S'_2$ , so  $\dim S'_2 = \dim S_2 - \dim(S_1 \cap S_2)$ . Furthermore,  $S_1 + S_2 = S_1 + S'_2$ .

Finally, we claim that  $S_1 \cap S'_2 = \{0\}$ . If not, then there is some non-zero  $v \in S_1 \cap S'_2$ . Now,  $S_1 \cap S'_2 \subseteq S_1 \cap S_2$  and also  $S_1 \cap S'_2 \subseteq S'_2$ . Thus,  $v$  can be written as a linear combination of vectors in  $\mathcal{B}$  and can also be written as a linear combination of vectors in  $\mathcal{B}'_2$ . Since  $v \neq 0$ , this implies that  $v$  can be written as a linear combination of vectors in  $\mathcal{B}_2$  in at least two different ways, contradicting the fact that  $\mathcal{B}_2$  is linearly independent.

Thus,  $S_1 \cap S'_2 = \{0\}$ , so we apply part (b) to conclude that

$$\dim(S_1 + S_2) = \dim(S_1 + S'_2) = \dim S_1 + \dim S'_2 = \dim S_1 + \dim S_2 - \dim(S_1 \cap S_2).$$

□

- (6) Show that if  $S \leq V$  is a proper subspace (that is  $S \neq V$ ), then  $\text{span}(S^C) = V$ .

**Solution:** It suffices to show that  $S \subseteq \text{span}(S^C)$  since we already know that  $S^C \subseteq \text{span}(S^C)$  and  $S \cup S^C = V$ .

Since  $S \neq V$ , we know that  $S^C \neq \emptyset$ . Furthermore,  $0 \in S$ , so  $0 \notin S^C$ , meaning that there is some non-zero  $v \in S^C$ .

Fix any  $s \in S$ ; we need to show that  $s \in \text{span}(S^C)$ . Consider  $s + v$ ; if  $s + v \in S$ , then since  $S \leq V$ , we would have  $v = (s + v) - s \in S$ , which isn't true. Therefore,  $s + v \in S^C$ , so  $s = (s + v) - v \in \text{span}(S^C)$  as needed. □

- (7) Recall that a function  $f: X \rightarrow Y$  is called an *injection* (one-to-one)  $f(x_1) = f(x_2)$  if and only if  $x_1 = x_2$ ; and is called a *surjection* (onto) if for every  $y \in Y$ , there is  $x \in X$  with  $f(x) = y$ .

For this exercise, pretend that the first week of class did not happen, i.e. we have never seen a pivot before, we don't know what an inverse matrix is, etc. That is, answer these questions using only basic matrix operations and facts about subspaces.

- (a) Let  $A \in \mathbb{R}^{m \times n}$  and consider  $A$  as a function  $A: \mathbb{R}^n \rightarrow \mathbb{R}^m$ . Show that  $A$  is a surjection if and only if  $\text{rank } A = m$ .

**Solution:** Since  $\text{Col } A = \{\vec{b} \in \mathbb{R}^m : A\vec{x} = \vec{b} \text{ for some } \vec{x} \in \mathbb{R}^n\}$ , we see that  $A$  is a surjection if and only if  $\text{Col } A = \mathbb{R}^m$ . Since  $\text{Col } A \leq \mathbb{R}^m$  always and  $\text{rank } A = \dim \text{Col } A$ , we see that  $A$  is a surjection if and only if  $\text{rank } A = m$ . □

(b) Show that  $A$  is an injection if and only if  $\text{Nul } A = \{\vec{0}\}$ .

**Solution:** First suppose that  $A$  is an injection, so, in particular, the only solution to  $A\vec{x} = \vec{0}$  is  $\vec{x} = \vec{0}$ ; i.e.  $\text{Nul } A = \{\vec{0}\}$ .

Now suppose that  $\text{Nul } A = \{\vec{0}\}$ . Suppose that  $\vec{x}, \vec{y} \in \mathbb{R}^n$  has  $A\vec{x} = A\vec{y}$ . This happens if and only if  $A(\vec{x} - \vec{y}) = \vec{0}$ , or equivalently,  $\vec{x} - \vec{y} \in \text{Nul } A$ . Since  $\text{Nul } A = \{\vec{0}\}$ , this means that  $\vec{x} - \vec{y} = \vec{0} \implies \vec{x} = \vec{y}$ , i.e.  $A$  is an injection.  $\square$

(c) Let  $A \in \mathbb{R}^{n \times n}$ . Show that  $A$  is an injection if and only if  $A$  is a surjection.

**Solution:** By the rank–nullity theorem, we know that  $\dim \text{Nul } A + \text{rank } A = n$  here.

If  $A$  is an injection, then  $\dim \text{Nul } A = 0$  by part (b), which means that  $\text{rank } A = n$ , so  $A$  is a surjection by part (a). Similarly, if  $A$  is a surjection, then  $\text{rank } A = n$  by part (a), so  $\dim \text{Nul } A = 0$ , so  $A$  is an injection by part (b).  $\square$

(8) Does there exist a matrix  $A \in \mathbb{R}^{3435 \times 3435}$  with  $\text{Nul } A = \text{Col } A$ ?

**Solution:** No. The rank–nullity theorem tells us that  $\dim \text{Nul } A + \dim \text{Col } A = 3435$  in this case. But if  $\dim \text{Nul } A = \dim \text{Col } A$ , then  $\dim \text{Nul } A + \dim \text{Col } A$  is an even number, which 3435 is not.  $\square$