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Recall the following “trees are everywhere” theorem:

**Theorem 1** (Trees are everywhere). *Let  $T$  be any tree on  $t$  vertices. If  $G$  is any graph with  $\delta(G) \geq t - 1$ , then  $G$  contains a copy of  $T$ .*

This theorem was proved by “greedily embedding” the tree vertex-by-vertex. Also, the theorem is tight for all  $t \geq 2$ , since  $K_{t-1}$  has only  $t - 1$  vertices and has  $\delta(K_{t-1}) = t - 2$ .

Let’s prove a couple related theorems about when we can embed a tree into a graph.

**Theorem 2.** *Let  $T$  be any tree on  $t$  vertices. If  $G$  is any graph with  $\chi(G) \geq t$ , then  $G$  contains a copy of  $T$ .*

Again, this theorem is tight for all  $t \geq 2$  since  $K_{t-1}$  has only  $t-1$  vertices and has  $\chi(K_{t-1}) = t-1$ .

Before we dive into the proof, let’s introduce some terminology:

**Definition 3.** *Let  $t$  be a positive integer. A graph  $G$  is said to be  $t$ -critical if  $\chi(G) \geq t$  yet every proper subgraph  $H$  of  $G$  has  $\chi(H) \leq t - 1$ . That is,  $G$  is minimal with respect to the property of having  $\chi(G) \geq t$ .*

Note that the only 1-critical graph (up to isomorphism) is  $K_1$  (whether or not you consider the null-graph to be a thing). Also, it is pretty quick to verify that the only 2-critical graph (up to isomorphism) is  $K_2$  (this is a good, quick exercise to help you understand the definition). Finally,  $G$  is 3-critical if and only if  $G$  is an odd-cycle; this is a homework exercise. There is no good classification of  $t$ -critical graphs for any  $t \geq 4$ .

$t$ -critical graphs are very useful for proving various statements of the form “If  $G$  has property  $\text{BLAH}_1$ , then  $\chi(G) \leq \text{BLAH}_2$ ”. Indeed, it is often the case that property  $\text{BLAH}_1$  is maintained under taking subgraphs, and:

**Proposition 4.** *If  $G$  has  $\chi(G) \geq t$ , then  $G$  contains a subgraph which is  $t$ -critical.*

*Proof.* Let  $\mathcal{G}$  denote the set of all subgraphs  $H$  of  $G$  with  $\chi(H) \geq t$ . Note that  $\mathcal{G}$  is non-empty since  $G \in \mathcal{G}$ . Thus, among all elements of  $\mathcal{G}$ , let  $H$  be one which minimizes  $|V(H)| + |E(H)|$ . We claim that  $H$  is  $t$ -critical. Indeed, let  $H'$  be any proper subgraph of  $H$ . Since  $H'$  is a proper subgraph of  $H$ , we must have  $|V(H')| + |E(H')| < |V(H)| + |E(H)|$ . Thus, by the definition of  $H$ , we must have  $H' \notin \mathcal{G}$  and so  $\chi(H) \leq t - 1$ .  $\square$

Another useful observation about  $t$ -critical graphs is that they are “dense”:

**Proposition 5.** *If  $G$  is  $t$ -critical, then  $\chi(G) = t$  and  $\delta(G) \geq t - 1$ .*

*Proof.* We have already mentioned the case of 1-critical graphs, so suppose that  $t \geq 2$ . Since  $\chi(G) \geq t \geq 2$ , we know that  $G$  has at least two vertices. Let  $v \in V(G)$  be such that  $\deg v = \delta(G)$  and set  $H = G - v$ , which is a proper subgraph of  $G$ . Since  $G$  is  $t$ -critical, we know that  $\chi(H) \leq t - 1$ . Thus, let  $f: V(H) \rightarrow [t - 1]$  be a proper coloring of  $H$ .

1. We show first that  $\chi(G) = t$ . Indeed, extend  $f$  to a coloring of  $G$  by defining  $f(v) = t$ . Since  $V(G) = V(H) \sqcup \{v\}$ , certainly  $f$  is a proper  $t$ -coloring of  $G$  since color  $t$  is un-used in  $V(H)$ . Thus  $\chi(G) \leq t \implies \chi(G) = t$  since we already have  $\chi(G) \geq t$  by assumption.

2. Suppose for the sake of contradiction that  $\delta(G) \leq t - 2$ , so  $\deg v \leq t - 2$ . But then there is some color  $c \in [t - 1]$  which is un-used in  $N(v) \subseteq V(H)$  since  $|N(v)| \leq t - 2$ . Defining  $f(v) = c$ , we arrive at a proper  $(t - 1)$ -coloring of  $G$ ; a contradiction since  $\chi(G) \geq t$ .  $\square$

The following observation is not really relevant to the proof of Theorem 2, but it is good to know:

**Proposition 6.** *If  $G$  is  $t$ -critical, then  $G$  is connected.*

*Proof.* Suppose that  $G$  is disconnected and has connected components  $G_1, \dots, G_k$  for some  $k \geq 2$ . Observe that  $\chi(G) = \max_{i \in [k]} \chi(G_i)$  (why?) and so there is some  $i \in [k]$  for which  $\chi(G_i) = \chi(G) \geq t$  (really, equals  $t$  thanks to Proposition 5, but this is unimportant here). But then  $G_i$  is a proper subgraph of  $G$  with  $\chi(G_i) \geq t$ , contradicting the fact that  $G$  is  $t$ -critical.  $\square$

With the definition of  $t$ -critical graphs and the properties we just proved, the proof of Theorem 2 is basically one line (if that one line is long enough)!

*Proof of Theorem 2.* Since  $\chi(G) \geq t$ , we can find a  $t$ -critical subgraph  $H$  in  $G$  thanks to Proposition 4. Then, thanks to Proposition 5, this  $H$  has  $\delta(H) \geq t - 1$ . Thus,  $H$  contains a copy of  $T$  by Theorem 1 and so  $G$  also has a copy of  $T$ .  $\square$

Let's now prove the following "Ramsey-type" result. We will discuss Ramsey's theorem toward the end of the class. For now, a "Ramsey-type" result is any statement of the form: "Either  $G$  has property BLAH<sub>1</sub> or  $\overline{G}$  has property BLAH<sub>2</sub> (or both)". We've encountered Ramsey-type results before, e.g.:

- Either  $G$  or  $\overline{G}$  is connected.
- If  $G$  has  $n$  vertices, then either  $G$  or  $\overline{G}$  has chromatic number at least  $\sqrt{n}$ .

**Theorem 7** (Chvátal's Ramsey-type theorem). *Let  $T$  be any tree on  $t$  vertices, let  $G$  be any graph on  $n$  vertices and let  $m$  be a positive integer. If  $n \geq (t - 1)(m - 1) + 1$ , then either  $G$  contains a copy of  $T$  or  $\overline{G}$  contains a copy of  $K_m$  (or both).*

*Proof.* If  $m = 1$ , then the claim is trivial since every graph contains a copy of  $K_1$ ; thus we may suppose that  $m \geq 2$ .

Suppose that  $\overline{G}$  does not contain a copy of  $K_m$ ; we need to show that  $G$  contains a copy of  $T$ . Since  $\overline{G}$  does not contain a copy of  $K_m$ , we know that  $\omega(\overline{G}) \leq m - 1$ . Of course  $\omega(\overline{G}) = \alpha(G)$ , so we bound

$$\chi(G) \geq \frac{n}{\alpha(G)} \geq \frac{(t - 1)(m - 1) + 1}{m - 1} = t - 1 + \frac{1}{m - 1} > t - 1.$$

Since  $\chi(G)$  and  $t - 1$  are both integers, this implies that  $\chi(G) \geq t$ . Thus,  $G$  contains a copy of  $T$  thanks to Theorem 2  $\square$

Chvátal's theorem is tight for all  $t, m \geq 2$  (the statement if  $t = 1$  or  $m = 1$  is trivial). Indeed, if  $n = (t - 1)(m - 1)$ , consider forming  $G$  by taking  $m - 1$  disjoint copies of  $K_{t-1}$ . Since trees are connected and each connected component of  $G$  has only  $t - 1$  vertices, we see that  $G$  does not contain a copy of  $T$ . On the other hand,  $\overline{G}$  is isomorphic to  $\underbrace{K_{t-1, \dots, t-1}}_{m-1}$ , which is  $(m - 1)$ -partite and thus cannot contain a copy of  $K_m$ .

We turn now to discussing edge-colorings of a graph. Much like for vertex-colorings, an edge-coloring is nothing more than a function  $f: E(G) \rightarrow C$  where  $C$  is some set of colors. An edge coloring is said to be proper if  $f(e) \neq f(s)$  whenever  $e$  and  $s$  share a common vertex. In other words, proper edge-colorings of  $G$  are exactly proper vertex-colorings of the line graph  $L(G)$ .

**Definition 8.** *The edge-chromatic number or chromatic index of  $G$ , denoted by  $\chi'(G)$ , is the smallest integer  $t$  for which  $G$  has a proper  $t$ -edge-coloring. Equivalently,  $\chi'(G) = \chi(L(G))$ .*

Note that  $\chi'(G) = 0$  if and only if  $G$  has no edges.

Let  $f: E(G) \rightarrow C$  be a proper edge-coloring of  $G$ . Then the color classes of  $f$  are matchings in  $G$ . In other words, proper edge-colorings are equivalent to partitions of the edges into matchings (if you don't care about the actual nature of the colors). To re-iterate this:

**Observation 9.**  *$\chi'(G)$  is the smallest integer  $t$  for which we can partition  $E(G)$  into  $t$  matchings.*

Let's derive a couple lower bounds on  $\chi'(G)$ .

**Proposition 10.** *For any graph  $G$ ,*

$$\chi'(G) \geq \Delta(G), \quad \text{and} \quad \chi'(G) \geq \frac{|E(G)|}{\alpha'(G)}.$$

*Proof.* For each  $v \in V(G)$ , each of the  $\deg v$ -many edges incident to  $v$  must receive different colors; thus  $\chi'(G) \geq \Delta(G)$ .

By definition, we can partition  $E(G) = M_1 \sqcup \dots \sqcup M_{\chi'(G)}$  where each  $M_i$  is a matching in  $G$ . Since  $\alpha'(G)$  is the size of a largest matching, we know that  $|M_i| \leq \alpha'(G)$  and so

$$|E(G)| = \sum_{i=1}^{\chi'(G)} |M_i| \leq \sum_{i=1}^{\chi'(G)} \alpha'(G) = \chi'(G)\alpha'(G) \implies \chi'(G) \geq \frac{|E(G)|}{\alpha'(G)}. \quad \square$$

What about upper bounds?

**Proposition 11.** *If  $G$  is any graph with at least one edge, then  $\chi'(G) \leq 2\Delta(G) - 1$ .*

*Proof.* We have  $\chi'(G) = \chi(L(G)) \leq \Delta(L(G)) + 1$  by our greedy-coloring argument applied to the line graph.

Now, for any edge  $uv \in E(G)$ , observe that  $\deg_{L(G)} uv = \deg_G u + \deg_G v - 2$ , and so

$$\chi'(G) \leq \Delta(L(G)) + 1 = 1 + \max_{uv \in E(G)} (\deg_G u + \deg_G v - 2) \leq 1 + (2\Delta(G) - 2) = 2\Delta(G) - 1. \quad \square$$

Therefore, provided  $G$  has some edges,

$$\Delta(G) \leq \chi'(G) \leq 2\Delta(G) - 1.$$

It turns out that the possible range for  $\chi'$  is even smaller than this!

**Theorem 12** (Vizing's Theorem). *If  $G$  is any graph, then  $\Delta(G) \leq \chi'(G) \leq \Delta(G) + 1$ .*

So there are only two options for the edge-chromatic number! Although this is the case, it is still (generally) a difficult task to determine whether  $\chi'(G) = \Delta(G)$  or  $\chi'(G) = \Delta(G) + 1$ .

A proof of Vizing's theorem would be a bit too involved for us at the moment, so we won't prove it here. Also, one quick remark: everything we've said so far about  $\chi'$  works for multigraphs as well *except* for Vizing's theorem, which requires simple graphs. Vizing's theorem for multigraphs states that  $\Delta(G) \leq \chi'(G) \leq \Delta(G) + \mu(G)$  where  $\mu(G)$  is the largest multiplicity of an edge of  $G$  (so  $\mu(G) = 1$  iff  $G$  is simple).

Let's compute a couple edge-chromatic numbers.

**Theorem 13.** *For each integer  $n \geq 2$ ,*

$$\chi'(K_n) = \begin{cases} n-1 & n \text{ is even,} \\ n & n \text{ is odd.} \end{cases}$$

*Proof.* (Lower bounds): We already know that  $\chi'(K_n) \geq \Delta(K_n) = n-1$  always.

Now, if  $n$  is odd, then  $\alpha'(K_n) = (n-1)/2$ , and so

$$\chi'(K_n) \geq \frac{|E(K_n)|}{\alpha'(K_n)} = \frac{\binom{n}{2}}{\frac{n-1}{2}} = \frac{\frac{n(n-1)}{2}}{\frac{n-1}{2}} = n.$$

(Upper bounds): It suffices to prove only that  $\chi'(K_n) \leq n-1$  whenever  $n$  is even. Indeed, if  $n$  is odd, then we would have

$$\chi'(K_n) \leq \chi'(K_{n+1}) \leq (n+1) - 1 = n,$$

since  $K_n$  is a subgraph of  $K_{n+1}$  and  $n+1$  is even.

If  $n = 2$ , then certainly  $\chi'(K_2) = 2 - 1 = 1$  since  $K_2$  has exactly one edge; thus we may suppose that  $n \geq 4$  is even.

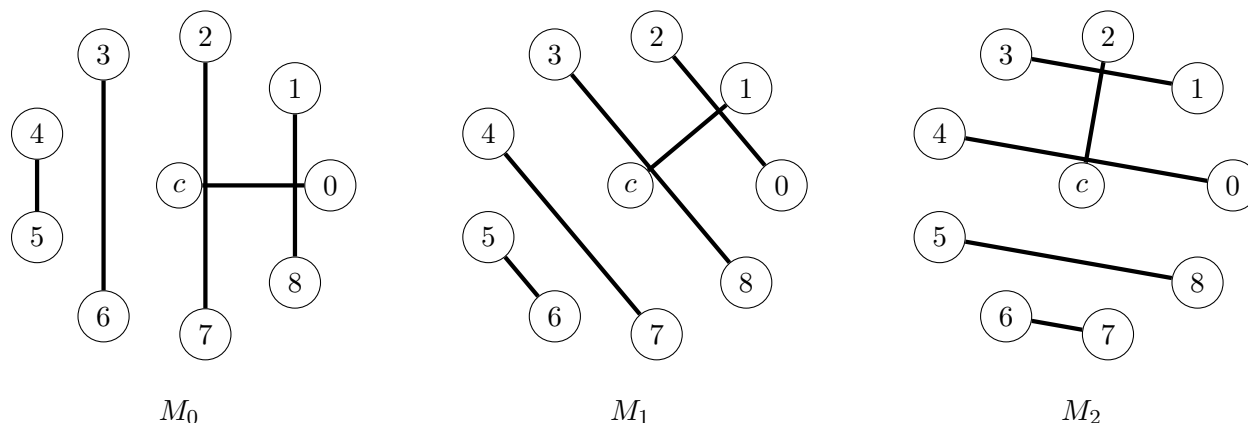
Set  $m = n - 1$ , so  $m \geq 3$  is odd. We consider labeling the vertex-set of  $K_n$  as  $V(K_n) = \{c\} \sqcup \{0, \dots, m-1\}$ ; imagine  $c$  as a center vertex and the rest of the  $m$  vertices arranged on a circle around  $c$ . When defining the coloring, all arithmetic will be done modulo  $m$ ; e.g.  $-x = m - x$ .

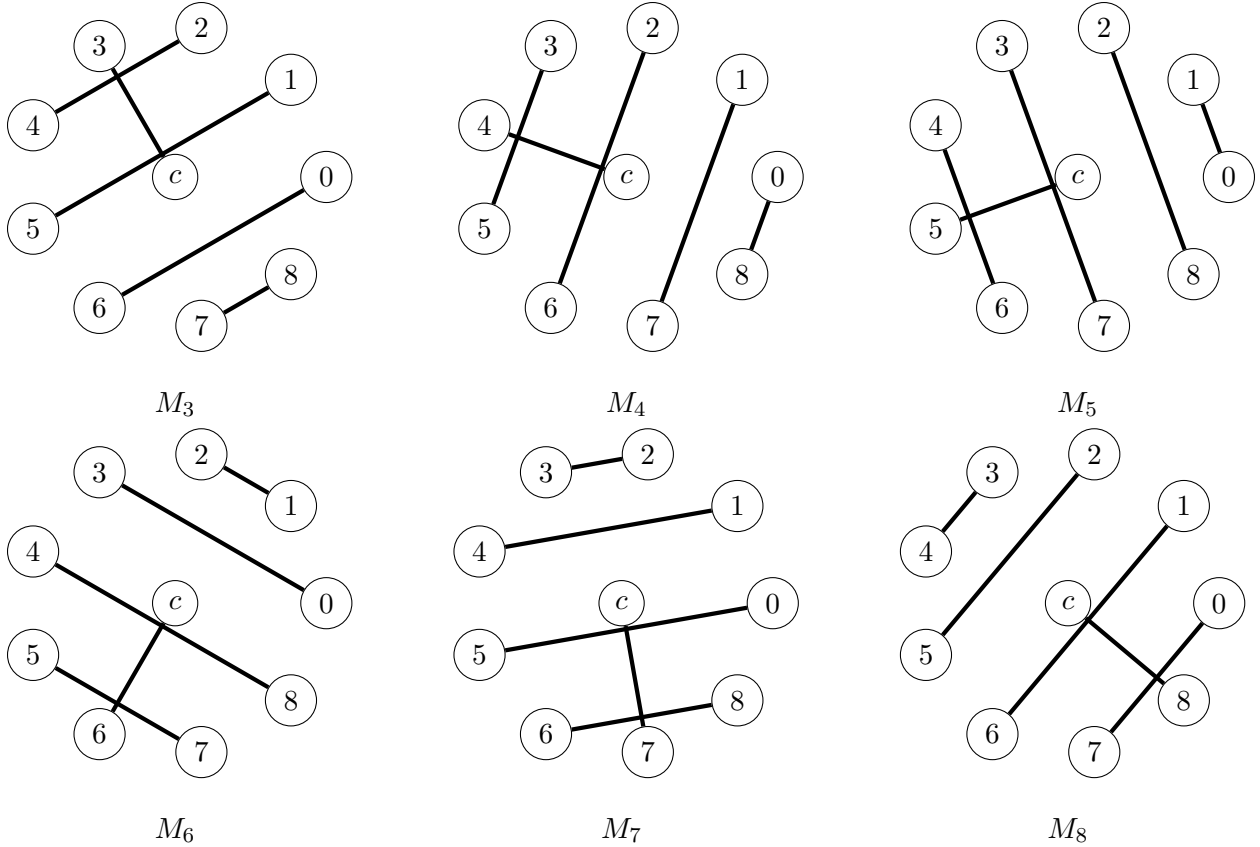
For each  $i \in \{0, \dots, m-1\}$ , we define

$$M_i = \left\{ \{c, i\} \right\} \cup \left\{ \{i+x, i-x\} : x \in [m-1] \right\}$$

Note that some edges are listed multiple times when defining  $M_i$  for convenience; we, of course, take each of these edges only once.

Below is a picture of the  $M_i$ 's when  $n = 10$  (so  $m = 9$ ).





If we can show that  $M_0, \dots, M_{m-1}$  are matchings which cover every edge of  $K_n$ , then we will have shown that  $\chi'(G) \leq m = n - 1$  as needed.

Let's show first that  $M_i$  is a matching for each  $i \in \{0, \dots, m - 1\}$ . Fix any  $x, y \in [m - 1]$ , we must show that  $\{i+x, i-x\}$  and  $\{i+y, i-y\}$  are either disjoint or equal (i.e. they don't intersect in a single vertex). Certainly if  $i+x = i+y$  or  $i-x = i-y$ , then  $x = y$  and so these are the same edge. Thus, suppose that  $i+x = i-y$  or  $i-x = i+y$ ; both of these cases imply that  $x+y = 0$  (arithmetic modulo  $m$ ). In other words  $x = -y$ , which implies  $\{i+x, i-x\} = \{i-x, i+x\} = \{i+y, i-y\}$  as needed.

Now we need to show that every edge of  $K_n$  is contained in one of these matchings. Fix any edge  $xy \in E(K_n)$ . If (wlog)  $x = c$ , then  $y \in \{0, \dots, m - 1\}$  and so  $xy \in M_y$ . Thus, we just need to consider the case where  $x, y \in \{0, \dots, m - 1\}$ . Since  $m$  is odd (and thus 2 and  $m$  are coprime), we can find some  $i \in \{0, \dots, m - 1\}$  such that  $2i = x + y$  (again, arithmetic modulo  $m$ ). Now,  $x \neq y \in \{0, \dots, m - 1\}$  and so either  $x - i \neq 0$  or  $y - i \neq 0$ ; without loss of generality  $x - i \neq 0$ . Set  $z = x - i$ . Since  $z \in [m - 1]$ , we know that  $\{i+z, i-z\} \in M_i$ . Of course,  $i+z = i+(x-i) = x$  and  $i-z = i-(x-i) = 2i-x = y$ , so  $xy \in M_i$ , which concludes the proof.  $\square$